



TME-01-001

September 12, 2001

Commissioner of Patents and Trademarks
Washington, D.C. 20231Fr: George O. Saile, Reg. No. 19,572
20 McIntosh Drive
Poughkeepsie, N.Y. 12603

Subject:

Serial No. 09/898,124 07/05/01

Quanbo Zou, Uppili Sridhar,

MINIATURIZED MULTI-CHAMBER THERMAL
CYCLER FOR INDEPENDENT THERMAL
MULTIPLEXING

Grp. Art Unit: 1725

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INFORMATION DISCLOSURE STATEMENT

Enclosed is Form PTO-1449, Information Disclosure Citation
In An Application.

The following Patents and/or Publications are submitted to
comply with the duty of disclosure under CFR 1.97-1.99 and
37 CFR 1.56. Copies of each document is included herewith.

U.S. Patent 5,939,312 to Baier et al., "Miniaturized
Multichamber Thermocycler", describes a miniaturized multi-
chamber thermal cycler.

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The following three U.S. Patents all discuss early work on multi-chamber thermal cyclers fabricated by silicon etching:

- 1) U.S. Patent 5,639,423 to Northrup et al.,
"Microfabricated Reactor."
- 2) U.S. Patent 5,646,039 to Northrup et al.,
"Microfabricated Reactor."
- 3) U.S. Patent 5,674,742 to Northrup et al.,
"Microfabricated Reactor."

Micro-fabricated PCR reaction chambers (or thermal Cyclers) have been reported in the technical literature by a number of experimenters, including:

- 1) Adam T. Woolley, et al., (UC Berkeley), "Functional Integration of PCR Amplification and Capillary Electrophoresis in a Microfabricated DNA Analysis Device," *Analytical Chemistry*, Vol. 68, pp. 4081-4086.
- 2) M. Allen Northrup, et al., (Lawrence Livermore National Lab, UC Berkeley, Roche Molecular Systems), "DNA Amplification with a microfabricated reaction Chamber", *7th Intl. conf. Solid-State Sensors and Actuators*, pp. 924-926.

- 3) S. Poser, et al., "Chip Elements for Fast Thermocycling", Eurosensors X, Leuven, Belgium, Sept. 1996, pp. 1197-1199.

- 4) Ajit M. Chaudhari, et al., (Stanford Univ. and PE Applied Biosystems), "Transient Liquid Crystal Thermometry of Microfabricated PCR Vessel Arrays", J. Microelectromech. Systems, Vol. 7, No. 4, 1998, pp. 345-355.

Sincerely,



Stephen B. Ackerman,
Reg. No. 37761

<p style="text-align: center;">EXAMINER TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</p> <p>SEP 17 2001 (If several sheets, list necessary)</p>				Document Number (Optional)	IME - 001	Application Number Quanbo Zou et al.
				Attala	09/898,124	Filing Date 07/05/01
U. S. PATENT DOCUMENTS						
DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	SEARCH DATE & APPROXIMATE	
5939312	8/17/99	Baier et al.	435	287.2	12/26/96	
5639423	6/17/97	Northrup et al.	122	50	8/31/92	
5646039	7/8/97	Northrup et al.	435	287.2	6/6/95	
5674742	10/7/97	Northrup et al.	435	286.5	6/6/95	
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FOREIGN PATENT DOCUMENTS						
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
RECEIVED					YES	NO
JUN 04 2002						
TC 1700						
RECEIVED						
MAY 07 2002						
TC 1700						
OTHER DOCUMENTS (Including Author, Title, Date, Page(s), Etc.)						
<p>Adam T. Woolley, et al., (UC Berkeley), "Functional Integration of PCR Amplification and Capillary Electrophoresis in a Micro-fabricated DNA Analysis Device," Analytical Chemistry Vol. 68, pp. 4081-4086.</p> <p>S. Poser, et al., "Chip Elements for Fast Thermocycling," Eurosensors X, Leuven, Belgium, Sept. 1996, pp. 1197-1199.</p>						
EXAMINER	DATE CONSIDERED					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

PATENT
SEARCHED
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(Use several sheets if necessary)

Doctor's Number (Optional)

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Quanbo Zou et al.

End Date

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U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
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	JUN 04 2002		MAY 07 2002				
	TC 1700		TC 1700				

OTHER DOCUMENTS (Including Author, Title, Date, Portion of Page, Etc.)

	M.-Allen Northrup, et al., (Lawrence Livermore National Lab, UC Berkeley, Roche Molecular Systems), "DNA Amplification with a microfabricated reaction Chamber, 7th Int'l. conf. Solid-State Sensors and Actuators, pp. 924 - 926.
	Ajit M. Chandhari, et al.; (Stanford Univ. and PE Applied Biosystems) "Transient Liquid Crystal Thermometry of Microfabricated PCR Vessel Arrays", J. microelectromech. Systems, Vol. 7, No. 4, 1998, pp. 345-355.

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